DT03 Rec'd PCT/PTG 2 8- JAN 2005

PTO-1390 (Rev. 12-2004) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 0152-0702PUS1 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP2003/009753 31 July 2002 31 July 2003 TITLE OF INVENTION **NOVEL PHYSIOLOGICALLY ACTIVE SUBSTANCES** APPLICANT(S) FOR DO/EO/US Yoshihiko KOTAKE; Jun NIIJIMA; Yoshio FUKUDA; Mitsuo NAGAI; Regina Mikie KANADA; Susumu TAKEDA; Takashi NAKASHIMA; Masashi YOSHIDA; Toshio TSUCHIDA; and Tomohiro SAMESHIMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. Х A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. WO 2004/011661 A1 is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) ,∙a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. x | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. x | A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: PCT/ISA/210; One (1) sheet of Sequence Listings; PCT/IB/304; PCT/IB/308

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U.S. APPLICATION	ng (it	37cF	31		INTERNATIONAL APPLICATION NO. PCT/JP2003/009753			ATTORNEY'S DOCKET NUMBER 0152-0702PUS1		
21. The following fees are submitted:							Appli	cant Use	Office Use Only	
x a) Basic national fee\$300.00							\$	300.00		
x b) Examination fee\$200.00						\$	200.00			
x c) Search fee\$500.00							\$	500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00								1,000.00		
x Additions sequence \$250 for	·									
Total Sheets	Total Sheets Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number RATE]				
290 - 100 =	190 /50 =			4		x \$250.00	1,000.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).										
CLAIMS NUMBER		· · · · · · · · · · · · · · · · · · ·			RATE	ļ				
					×	50.00	s	1,750.00		
Independent claims		4	4 - 3 = 1		× 200.00		s	200.00		
MULTIPLE DEP	MULTIPLE DEPENDENT CLAIM(s)			(if applicable) +			\$			
TOTAL OF ABOVE CALCULATIONS =								3,950.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$			
SUBTOTAL =							\$	3,950.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).							\$			
TOTAL NATIONAL FEE =							\$	3,950.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								40.00		
TOTAL FEES ENCLOSED =								3,990.00		
Amount to be refunded: Amount to be charged:									\$	
to cover the above lees is enclosed.										
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any										
overpayment to Deposit Account No. <u>02-2448</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CER 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status										
SEND ALL CORRESPONDENCE TO:										
SIGNATURE:										
John W. Bailey										
CUSTOMER NUMBER 2292 January 28, 2005										
JWB/nl 32,881										